



ASTUTE SIPP GRANTING THIRD PARTY AUTHORITY FORM

Application Guide

This form should be completed if you wish to allow a 3rd party to receive information on your SIPP. Where you are appointing an FCA / PRA regulated Financial Adviser who will have authority to provide us with instructions, please complete the appointment of Financial Adviser Form instead.

Please complete in BLOCK CAPITALS and in black ink, and return it to: Astute Trustee Services Ltd, 87 Watling Street Road, Fulwood, Preston, PR2 8BQ.

1 My Details

Member's Full name

Member number

2 Authority for a 3rd party to receive information

I confirm that I wish

Mr / Mrs / Ms / Miss (delete as appropriate)

Full name

Company name (if applicable)

Address

Post Code

To be able to receive information on my plan by request.

This authority will remain in force until I notify you otherwise in writing.

3 Authority for an individual to provide instructions

Where you are appointing an FCA / PRA regulated Financial Adviser who will have authority to provide us with instructions, please complete the Appointment of Financial Adviser form instead.

If you wish the named 3rd party to also be able to provide instruction to Astute Trustee Services Ltd in respect of your SIPP, please complete this section. This will mean that we will accept instructions from them without checking with you that they are in accordance with your wishes.

I can confirm that I also wish the named 3rd party to be able to provide Astute Trustee Services Ltd with instructions in relation to my SIPP (please tick if applicable).

I agree that Astute Trustee Services may rely on such instructions as if they were from me.

In order for Astute Trustee Services Ltd to fulfil its Anti-Money Laundering responsibilities we require further information on any third party (named in section 2) who is authorised to provide instructions:

Any other name known by

Date of Birth

D	D	M	M	Y	Y	Y	Y
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Nationality

Do you have Dual Nationality?

Yes No

If 'yes' please specify

The individual to be given authority to provide instructions will need to read and sign section 5.

I agree to the release of information as detailed in this form. Where I have indicated that I authorise the named 3rd party to be able to provide instructions in respect of my SIPP, I request the Scheme Administrator to act on these instructions in accordance with the provisions of the Astute SIPP, until otherwise instructed by me in writing.

Member's signature

Date

D	D	M	M	Y	Y	Y	Y
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DATA PROTECTION

All the information I give to you may only be shared by Astute Trustee Services Ltd and their service providers and agents who may be located in other companies.

I accept that you will ensure that my information is only used in accordance with your instructions and your own strict internal confidentiality policies. If you transfer my information to another country, you will also ensure that it is given the same levels of protection as required under the UK Data Protection Act

I agree that my information may be used in this way for administration purposes, and to :

- Provide and run the product I have been granted authority to provide instructions on and develop and improve your products and services
- Identify and advise me by post, telephone or electronic media of products or services, which Astute Trustee Services Ltd think may interest me
- Invite me to take part in market research surveys

I would prefer not to receive up to date information on other products or services or to be included in market research

Before you accept I have authority provide instructions, you may make searches at credit reference agencies who will supply you with information including information from the electoral register, for the purposes of verifying my identity. Scoring methods may be used to verify my identity. The credit reference agencies will record details of the search whether or not the application proceeds but I accept that this is not a credit check and will not be seen or used by lenders to assess my ability to obtain credit.

If I give you false or inaccurate information and fraud is identified, details will be sent to fraud prevention agencies by Astute Trustee Services Ltd. Law enforcement agencies may access and use this information

You, and other organisations may search and use these records to prevent fraud and money laundering, for example:

- To help make decisions about credit and credit related services, insurance proposals and claims, and all types of facilities.
- To manage accounts and facilities, (including tracing debtors) and recovering debt
- To help make decisions about job applicants and employees

You and other organisations may search and use from other countries the information recorded at fraud prevention agencies. Further information on the credit reference agencies and fraud prevention agencies that you use is available by contacting you.

Information about me will be kept after the account I have been given authority to provide instruction on has been closed. I accept that I have the right to see certain records you hold about me, on payment of a fee and that an information sheet explaining my rights is available from Astute Trustee Services Ltd, 87 Watling Street Road, Fulwood, Preston, PR2 8BQ.

This declaration is hereby made by me.

Signature of individual given authority to provide instructions

Date

D	D	M	M	Y	Y	Y	Y
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Checklists

MEMBER TO ALLOW 3RD PARTY TO RECEIVE INFORMATION ONLY

Member completes section 1, 2, & 4

MEMBER TO PROVIDE AUTHORITY FOR A 3RD PARTY INDIVIDUAL TO PROVIDE INSTRUCTIONS

Member completes section 1, 2, 3, & 4

Individual to be given authority to provide instruction completes and signs section 5.

Checklist of Additional Documentation Required

Copies of supporting literature and forms are available at www.astutetrusteeservices.co.uk

EVIDENCE OF YOUR IDENTITY

Completion of the Confirmation of Verification of Identity by your Financial Adviser available to download from www.astutetrusteeservices.co.uk

Or

Black and white photocopies of two documents – one from list A and one from list B. (Items from the same source cannot be used twice).

List A

- Unexpired Passport
- Unexpired UK old style driving licence (not provisional)
- Unexpired UK Photocard driving licence
- Firearms certificate or shotgun licence
- EEA or Switzerland National Identity Card
- Northern Ireland Voters Card

List B

- Unexpired UK old style driving licence (not provisional)
- Unexpired UK Photocard driving licence
- Council Tax Bill
- Firearms certificate or shotgun licence
- Bank Statement (not internet printed)
- Credit Card Statement (not internet printed)
- Utility Bill (not mobile phone, satellite / cable TV or internet printed bills)
- HM Revenue & Customs coding / assessment / statement / tax credit
- Northern Ireland Voters Card

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87 Watling Street Road, Fulwood, Preston, PR2 8BQ

Astute Pensions is a trading name of Astute Trustee Services Limited which is registered in England and Wales with number 07944236 and whose registered office is at 87 Watling Street Road, Fulwood, Preston, PR2 8BQ. Astute Trustee Services Limited is authorised and regulated by the Financial Conduct Authority.